

|   |                        |                           |            |  |
|---|------------------------|---------------------------|------------|--|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | Application Number     |                           | 10585640   |  |
|   | Filing Date            |                           | 2009-09-29 |  |
|   | First Named Inventor   | Masao Shiozaki            |            |  |
|   | Art Unit               | 1623                      |            |  |
|   | Examiner Name          | Bahar Schmidtman          |            |  |
|   | Attorney Docket Number | 1004413.006US (3443-4006) |            |  |

| U.S.PATENTS       |         |               |                        |            |   |  | Remove |
|-------------------|---------|---------------|------------------------|------------|---|--|--------|
| Examiner Initial* | Cite No | Patent Number | Kind Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear |        |
|                   | 1       |               |                        |            |   |  |        |

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

| U.S.PATENT APPLICATION PUBLICATIONS |         |                    |                        |                  |   |  | Remove |
|-------------------------------------|---------|--------------------|------------------------|------------------|---|--|--------|
| Examiner Initial*                   | Cite No | Publication Number | Kind Code <sup>1</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear |        |
|                                     | 1       |                    |                        |                  |   |  |        |

If you wish to add additional U.S. Published Application citation information please click the Add button.

Add

| FOREIGN PATENT DOCUMENTS |         |                                      |                             |                        |                  |   |  | Remove                   |
|--------------------------|---------|--------------------------------------|-----------------------------|------------------------|------------------|---|--|--------------------------|
| Examiner Initial*        | Cite No | Foreign Document Number <sup>3</sup> | Country Code <sup>2</sup> i | Kind Code <sup>4</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear | T <sup>5</sup>           |
|                          | 1       |                                      |                             |                        |                  |   |  | <input type="checkbox"/> |

If you wish to add additional Foreign Patent Document citation information please click the Add button

Add

| NON-PATENT LITERATURE DOCUMENTS |         |   |                | Remove |
|---------------------------------|---------|---|----------------|--------|
| Examiner Initials*              | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>5</sup> |        |
|                                 |         |   |                |        |

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

|                        |                           |
|------------------------|---------------------------|
| Application Number     | 10585640                  |
| Filing Date            | 2009-09-29                |
| First Named Inventor   | Masao Shiozaki            |
| Art Unit               | 1623                      |
| Examiner Name          | Bahar Schmidtman          |
| Attorney Docket Number | 1004413.006US (3443-4006) |

|   |   |                          |
|---|---|--------------------------|
| 1 | SHIOZAKI, M. et al., "Syntheses of glucose derivatives of E5564-related compounds and their LPS-antagonistic activities", Elsevier, Available online: 13 March 2006, Carbohydrate Research 341, pp. 811-822, 2006.  | <input type="checkbox"/> |
| 2 | SHIOZAKI, M. et al., "Syntheses of glucose analogues of E5564 as a highly potent anti-sepsis drug candidate", Elsevier, Available online: 5 January 2006, Bioorganic & Medicinal Chemistry 14, pp. 3011-3016, 2006. | <input type="checkbox"/> |
| 3 | SHIOZAKI, M. et al., "Syntheses of glucose-containing E5564 analogues and their LPS-antagonistic activities", Elsevier, Available online: 2 November 2005, Tetrahedron 62, pp. 205-225, 2006.                       | <input type="checkbox"/> |

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.